



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO/TITLE |
|--------------------|-------------|-----------------------|--------------------------|
| 08/498,002 | 06/07/95 | HARVEY | J 5634.345 |

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HOWREY AND SIMON
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WASHINGTON DC 20004

0262/0830



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08/30/95

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILED UNDER 37 CFR 1.60 FILING DATE GRANTED**

A filing date has been granted to this application filed under 37 CFR 1.60. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3 of \$ 300.00 for large entities or \$ 150 for small entities who have complied with 37 CFR 1.28(a). The surcharge is set forth in 37 CFR 1.16 (e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a large entity, small entity (verified statement filed), is \$ 495.00

Applicant is given ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this application, WHICHEVER IS LATER, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136 (a).

1. The statutory basic filing fee is: missing insufficient. Applicant as a large entity, small entity, must submit \$ 365.00 to complete the basic filing fee.
2. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. The application was filed under 37 CFR 1.60. The copy of the oath or declaration is missing does not show applicant(s) signature or an indication it was signed. A copy of the signed oath or declaration originally filed in the prior complete application is required.
4. OTHER:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch.

Customer Service
A copy of this notice MUST be returned with the response.

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APPLYING PROCESSING DIVISION
(303) 308-1202
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Pre Application of :
John C. Harvey and James W. Cuddihy : Group Art Unit:
Serial No. 08/498,002 : Examiner
Filed June 7, 1995 : Atty Dkt 5634.345
For **SIGNAL PROCESSING APPARATUS
AND METHODS** :

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION FILED UNDER 37 CFR 1.60 FILING DATE GRANTED**

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL
PROCESSING & CORRESPONDENCE BRANCH**

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the enclosed PTO-1607, **Notice to File Missing Parts of
Application filed under 37 CFR 1.60 Filing Date Granted**, dated AUGUST 30, 1995,
Applicants herewith submit the following:

- Copy of PTO-1607;
- Copy of Verified Small Entity Declaration as filed in Application Serial
No. 08/113,329 filed August 30, 1993; and

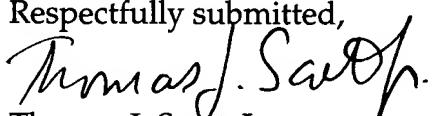
REMARKS

Please accord small entity status for the above-referenced patent application
properly filed under 37 C.F.R. § 1.60 and entitled to small entity status under 37 C.F.R
§ 1.28. 37 C.F.R § 1.28 provides that: "Applications filed under §§ 1.60 and 1.62 of this
part must include a reference to a verified statement in a parent application if status as
a small entity is still proper and desired." The instant application included by
preliminary amendment a reference to the parent case in its entirety. The parent case,

application serial number 08/113,329, contains a verified statement properly establishing small entity status and the application referenced above had small entity status at the application's filing date. Therefore, a reference to a verified statement establishing small entity status was properly included in the instant application. Please accept the properly filed small entity fee in the amount of \$365.00 and pass the instant application onto the Examination branch. A copy of the Verified Statement Claiming Small Entity Status for a Small Business Concern from the parent case is submitted herewith. Small entity status is desired for the present application. Applicants request that any surcharge fee be returned.

The Commissioner is hereby authorized to charge Deposit Account No. 08-3038 any insufficient funds in connection with the filing of the instant papers. *This sheet is submitted in triplicate.*

Date: September 7, 1995
HOWREY & SIMON
1299 Pennsylvania Avenue, NW
Washington, D.C. 20004
Tel: (202) 783-0800

Respectfully submitted,

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Registration No. 27,836
Attorney for Applicants